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## Water as a reaction medium for clean chemical processes

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**Abstract** Solvent usage is often an integral part of manufacturing process, whether it is chemical or another industrial sector. Thus, this unavoidable choice of a specific solvent for a desired manufacturing process can have profound economical, environmental, and societal implications. Some of the impacts are long lasting especially from an environmental perspective, which has been well documented in the scientific literature. The pressing need to develop alternative solvents for manufacturing processes originates, in part, from these implications and constitutes an essential strategy under an emerging field of green chemistry. Whereas there have been excellent advances in developing several alternative “clean” solvents, it is unlikely that the one solvent will be a panacea for various chemical protocols. This article provides some examples of using water as an alternative solvent for chemical reactions with wide-ranging possibilities that include direct use of water soluble renewable materials, C–C bond forming reactions using organometallic reagents, and exploiting the use of alternate energy sources such as solar, microwave and ultrasound in accelerating chemical syntheses.

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Within the last decade or so, green chemistry has attained the status of a major scientific discipline

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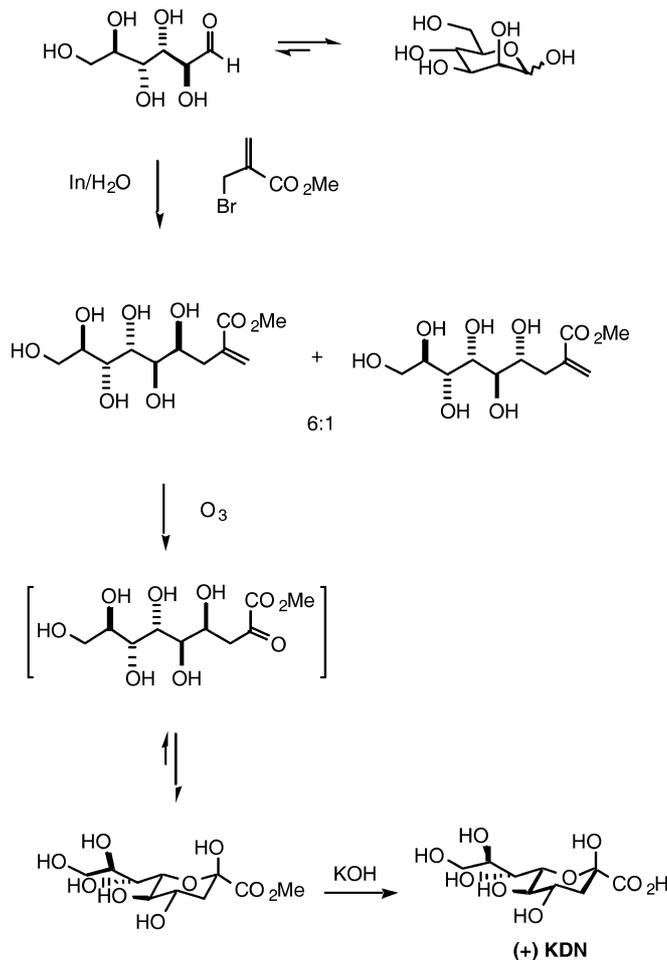
(Anastas and Warner 1998). The studies of green chemistry have led to the development of cleaner and relatively benign chemical processes with many new technologies being developed each year. Among them, there is a large proportion of effort that has been devoted to the use of non-traditional solvent for chemical synthesis. These unconventional media include solventless (Cherouvier et al. 2001; Tanaka 2003; Togo and Hirai 2003; Varma 1999; Varma 2000a; Varma 2000b; Varma 2001), water (Chan and Issac 1996; Li 1993; Li 1996; Li 2002; Li and Chan 1997; Li and Chan 1999), supercritical CO<sub>2</sub> (Devetta et al. 1999; Ishii and Oi 1998; Jessop and Leitner 1999; Matruda et al. 2001; Wei et al. 2002), ionic liquids (Rogers and Seddon 2002; Wasserscheid and Keim 2000), perfluorinated solvent (Gladysz and Curran 2002; David et al. 2002; Moineau et al. 1999), and some others (Harano et al. 2000; Westacott et al. 2001). During these explorations, a familiar question is frequently asked even within the green chemistry community about the relative greenness of a medium over others. There is no clear answer to this question if the context of the discussion is not defined. For example, water is commonly considered as a benign solvent for its non-toxicity and abundant natural occurrence, yet in the semiconductor industry, wastewater contaminated with trace amount of metals is a serious environmental problem (Aoki et al. 2002; Uchida et al. 2002). Thus, it is important to recognize that there is no universal best solvent for all chemical processes. Instead, the different choices provide various alternatives for the end-users to select based on their own assessments of overall environmental impact. Water is undoubtedly the cleanest solvent on earth. The use and release of “clean water” will have the least impact to the environment. However, it should be stressed that the release of a large amount of uncleaned (untreated) water has been a major problem. In this article we will briefly discuss, with selected examples, some of the main advantages of using water as a reaction medium to develop cleaner chemical processes.

## (1) Direct utilization of water-soluble compounds and renewable materials

The first common advantage of using water as solvent for clean technology is the opportunity to directly utilize water-soluble compounds and renewable materials such as carbohydrates without the necessary derivatizations. However, in order to accomplish this goal, chemical reactions that can tolerate water and reactive functional groups such as hydroxyl, amine, and acids should be developed. Within the past two decades many reactions that are conventionally believed to proceed only in organic solvents have been developed in water. Since the seminal work of Breslow on aqueous Diels–Alder reactions (Breslow 1999), there have been profound research activities of developing organic reactions in aqueous media. Among the many significant developments in the field are the Diels–Alder (including hetero-Diels–Alder) and sigmatropic rearrangements (Chandrasekhar et al. 2002; Grieco 1991; Libineau et al. 1992; Loh et al. 1996; Otto and Engberts 1999; Otto et al. 1998; Otto et al. 1996; Wipf and Ribs 2001), the catalytic hydrogenations and hydroformylations, the metal-mediated carbon–carbon bond formations, the water-tolerant Lewis acid catalysis (Heeres et al. 2001; Haumann et al. 2002; Kobayashi and Manabe 2002; Gu et al. 2001; Li 2002; Li et al. 2002a; Li et al. 2002b; Lindstoem 2002; Munoz-Moniz et al. 2003; Paganelli et al. 2000; Shimizu et al. 2000; Shirakawa 2001; Tin et al. 1999) including solid acids (Okuhara 2002), transition-metal catalyzed carbon–carbon bond formations (Li et al. 1998; Li et al. 2002a; Li et al. 2002b; Zhang et al. 2000), radical reactions (Poonkodi and Anbalagan 2001), and various asymmetric carbon–carbon bond formations in water (Manabe and Kobayashi 2002). High temperature water has also shown to be a promising medium for new chemistry (Shaw et al. 1991). These reactions provided the possibility of directly modifying water-soluble and renewable materials in water without the necessary protection–deprotection sequences that are commonly associated with conventional chemistry, thus reducing the overall synthetic steps. An example is the higher carbon-sugar synthesis from carbohydrate by Chan (Chan and Li 1992) and Whitesides (Gao et al. 1994) (Scheme 1).

## (2) Catalyst recycling and product isolation via phase separation

Another potential opportunity of clean chemical synthesis in water is the development of catalytic processes that can simplify catalyst recycling and product isolation. While designing ideal catalytic processes for clean synthesis in water, a key is to develop catalysts that are soluble in water. Ideally, the reactant and the product should have no or very little water solubility. As a result, the product can be isolated by simple phase separations



**Scheme 1** Synthesis of sialic acid in water

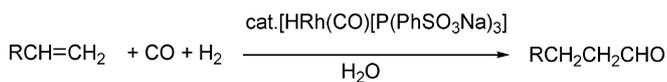
and the catalyst can be recycled readily. Through this strategy, it is possible to recycle the aqueous catalyst solution for an extended period of time without the need either to discharge it or to regenerate it.

A second key to develop clean catalysis in water is to develop reactions with high atom efficiency. This is important as low atom efficiency will lead to the accumulation of unwanted materials in the solution, which will eventually require the change and discharge of the catalyst solution. Examples of successfully developed catalytic reactions include catalytic hydrogenations, hydroformylations, Wacker's oxidation, and some polymerization reactions.

Hydrogenation, together with hydrocyanation, hydrosilylation, and hydrostannation, are useful transition metal-catalyzed reactions in organic synthesis (Augustine 1965; Freifelder 1971; Freifelder 1978; Rylander 1979; Rylander 1985). The first successful catalytic hydrogenation in aqueous solution was reported in 1975 by using a catalyst having water-soluble phosphine ligands (Joo and Beck 1975). Since then, both hydrogenation of C–C unsaturated bonds and C–O double bonds in aqueous medium have been extensively studied. For example, Joo and Benyei have shown that by using

$\text{RuCl}_2(\text{TPPMS})_2$  and sodium formate as hydrogen donor, a variety of aromatic and  $\alpha,\beta$ -unsaturated aldehydes were transformed to the corresponding saturated alcohols in aqueous solution (Benyei and Joo 1990; Joo and Benyei 1989). Many excellent asymmetric hydrogenation processes have also been developed (Toth et al. 1990). A highly regio- and stereoselective hydrosilylation of terminal alkyne has been developed (Wu and Li 2003).

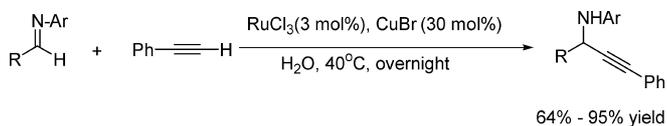
The hydroformylation process is one of the most successful applications of aqueous medium catalysis in industrial manufacture. A series of patents in 1982 described the reaction process (Cornils et al. 1982), the recovery of rhodium catalyst (Gaertner et al. 1984a), and the preparation of water-soluble sulfonated phosphane ligands (Gaertner et al. 1984b). The best known hydroformylation is Ruhrchemie/Rhône-Poulenc's process (Bexten et al. 1986) that uses  $\text{HRh}(\text{CO})(\text{tppts})_3$  as catalyst (Scheme 2). The product is separated from the catalyst solution by a simple phase separation, and the catalyst solution is recharged to the reactor for further reaction. During the process, the loss of rhodium catalyst in the organic phase is negligible.



**Scheme 2** Ruhrchemie/Rhône-Poulenc's hydroformylation process

The Wacker oxidation involves the oxidation of olefin with oxygen or air in water (Smidt et al. 1962). In one example, ethylene is more than 99% converted to acetaldehyde when passed (together with the catalyst solution) once through a flow reactor at moderate pressure and medium temperatures. The acetaldehyde formed distills off while releasing the aqueous solution containing the catalyst, which can be pumped back to the reactor and reused again. Another interesting development is the aqueous polymerization processes among which the single-site olefin polymerization reaction using water-soluble transition metal catalysts are particularly prominent (Younkin et al. 2000).

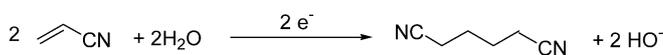
Carbon-carbon bond formation via C-H activation in water is another potential clean process that can have both high atom efficiency and easy recycling of the catalyst solution. One example of such C-H activation-based carbon-carbon bond formation process is the addition of alkynes to imines (Scheme 3). Enantioselective alkyne-imine addition is also possible in water (Li and Wei 2002b; Wei and Li 2002).



**Scheme 3** Enantioselective alkyne-imine addition in water

### (3) The combination of electrochemistry-solar chemistry in water

Additional examples of developing clean chemical synthesis in water is the development of electrochemical oxidations and reductions as well as solar-cell-based chemical technologies. As an example, the production of adiponitrile is an important industrial process involving the electro-hydrodimerization (EHD) of acrylonitrile. Adiponitrile is used as an important precursor for hexamethylenediamine and adipic acid, the monomers required for the manufacture of nylon-66 polymer (Danly and King 1991). A high-yield adiponitrile synthesis (Baizer 1980) was realized via an electrochemical process by using a concentrated solution of certain quaternary ammonium salts (QAS) together with lead or mercury cathodes (Scheme 4).



**Scheme 4** Electrochemical process for adiponitrile synthesis

The prospect of utilizing solar energy for chemical processes is yet another clean technology. In the sunshine, decomposition of 2,6-dinitrotoluene and *m*-nitrotoluene in water has been realized (Yu and Hu 2001). Similarly, degradation of 3,4-dichloropropionamide has also been reported in the presence of  $\text{TiO}_2$  in aqueous media (Pathirana Hema and Maithreepala 1997). These reactions provide the basis for design of synthetic processes. In an aqueous solution, and in the presence of a photoinitiator, the isomerization of bicyclo [2,2,1]hepta-2,5-diene-2,3-dicarboxylic acid can occur which is promising for the preparation of nobornadiene derivatives and for solar energy storage (Wang et al. 1996).

### (4) The biocatalytic processes

As most biological processes and enzymatic reactions occur in aqueous media, there are ample opportunities of combining enzymatic reactions with chemical reactions in water.

Because water is a favored solvent in biocatalysis processes, many biocatalytic protocols use water-organic solvent biphasic systems as the reaction medium, wherein the organic phase could help remove the products being generated from the aqueous phase. For example, phospholipid-catalyzed transesterification or hydrolysis of phospholipids, which is useful for the preparation of a variety of differently substituted phospholipids, diacylglycerols and ceramides, can be conducted in an  $\text{H}_2\text{O}$ /butyl acetate biphasic medium (Barenholz and Amselem 2000). A practical and efficient asymmetric reduction of ketones via enzymatic catalysis was developed in a water/*n*-heptane system (Harald et al. 2003; Jonsson et al. 1999). Enzymatic oxidative

polymerization of cresol in an aqueous medium provides an alternative route for the synthesis of phenolic polymers (Sangrama et al. 2002), which are structurally different from the traditional phenol-formaldehyde-based polymers. Biocatalytic epoxidation of vinyl aromatic compounds has also been performed in aqueous medium for the preparation of selected optically active epoxides with high enantiomeric purity (Schmid et al. 2001).

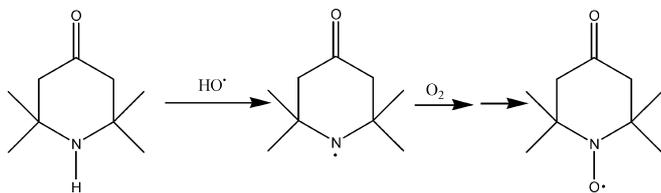
### (5) The use of ultrasound and microwave for energy efficiency

Finally, for many chemical processes, a major adverse effect to the environment is the consumption of energy for heating and cooling. To overcome such problems, it is highly desirable to develop efficient methods that use alternative energy sources such as ultrasound and microwave irradiation to facilitate chemical reaction. Ultrasonic irradiation enhances the chemical reaction and mass transfer via the process of acoustic cavitation (Luche 1998).

*Ultrasound:* in aqueous reaction media, the sonolysis of the O–H bond is a predominant phenomenon that occurs in the gas phase of the bubble generating hydrogen and hydroxyl radicals:



The sonochemically formed oxidizing species such as hydroperoxyl radicals have been detected that originate from reaction of dioxygen with hydrogen (Henglein 1993). In a cavitating liquid, the reaction can take place in three regions namely gaseous phase inside the bubble, the limit shell surrounding it and in the bulk solution. The sonolysis of amphiphilic compounds in water takes place leading to hydroxylation followed by subsequent oxidations by ensuing hydroxyl radicals. Thus, it has potential application in the degradation of pollutants in water even at low concentration and avoiding the energy-intensive concentration efforts (Petrier et al. 1992b). More useful environmental applications of sonication have been advanced in conjunction with photocatalytic or electrochemical method (Benahcene et al. 1995). Interfacial reactivity is apparent in the oxidation of hindered secondary amines (Petrier et al. 1992a). In presence of oxygen bubbling, stable nitroxides are produced as a result of hydroxyl radical abstraction of the hydrogen followed by the reaction of oxygen with nitrogen-centered radicals (Scheme 5). In the absence of



Scheme 5 Formation of stable nitroxides

oxygen, when argon is bubbled, nitroxides are not formed. Sonication may greatly improve the rates and yields of organometallic reactions via enhancement of the electron transfer processes.

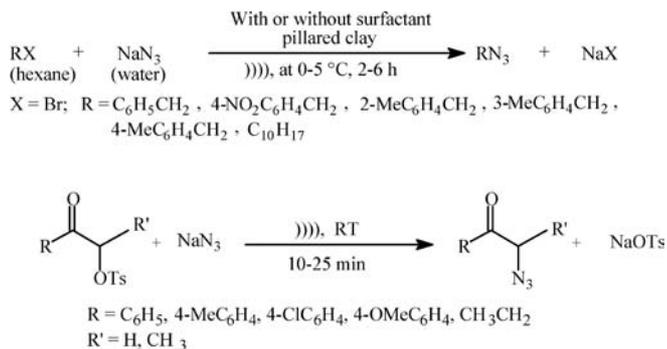
In view of the known efficiency of surfactant-pillared clays to act as a dispersed solid phase in a triphasic catalyst system (Lin and Pinnavia 1991), relatively benign modified clays have been prepared by introducing 3-aminopropyl-triethoxysilane and surfactants into the clay interlayer. Such organo-clay assemblies have proven useful in some useful organic transformations such as preparation of benzyl cyanides, thiocyanides and alcohols from the corresponding benzyl halides and sodium cyanide, thiocyanide and hydroxide respectively (Varma et al. 1999a). In this context it is important to mention 18-crown-6 “doped” clay as a recyclable phase transfer catalyst in nucleophilic substitution reactions that provides relatively improved yields of alkyl azides from alkyl bromides and sodium azide in aqueous media (Varma et al. 1999b).

Further, it has been shown that alkyl halides and  $\alpha$ -tosyloxyketones afford the corresponding azide derivatives upon treatment with aqueous sodium azide ( $\text{NaN}_3$ ) under triphase catalysis or ultrasound irradiation conditions (Varma and Kumar 1998; Varma and Naicker 1998). The use of surfactant pillared clay materials and sonochemistry has been compared in such nucleophilic substitution reactions that have afforded a practical synthesis of azides and  $\alpha$ -azidoketones involving triphase catalysis. Among the nucleophilic substitution reactions, a study has been conducted to explore the viability of ultrasound as a substitute for a phase-transfer catalyst (PTC), by comparing the results under various set of conditions that include triphase catalyst under classical (reflux) conditions; triphase catalyst in conjunction with ultrasound irradiation at very low temperature; and finally, a two-phase catalyst-free system using only ultrasound irradiation (Varma et al. 1999c).

In a typical example, the alkyl bromide or  $\alpha$ -tosyloxyketones and aqueous  $\text{NaN}_3$  are admixed in the molar ratio 1:1.2 and refluxed with continuous stirring in the presence of organo-clay material under classical conditions or under ultrasound irradiation with or without organo-clay assembly (Scheme 6).

Under the influence of ultrasound irradiation, it has been observed that a favorable acceleration in reaction rate occurs when compared to classical conditions (i.e. under reflux). The addition of catalytic amounts of surfactant-pillared clays further facilitated the reaction, thereby indicating a synergistic effect of ultrasound on triphase catalyst system. However, the successful reaction with sonication in the absence of pillared clay indicates that ultrasound can indeed substitute for a phase-transfer catalyst, thereby providing an attractive alternative for the nucleophilic substitution reactions (Varma 1999c).

*Microwave (MW) irradiation*, an unconventional energy source, has been used for a variety of applica-



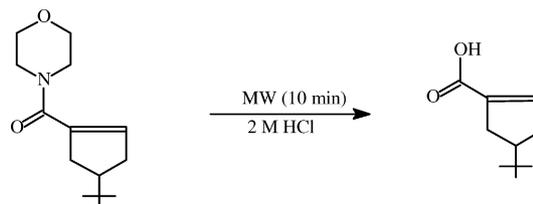
**Scheme 6** Synthesis of azides and  $\alpha$ -azidoketones via nucleophilic substitution reactions

tions including organic synthesis (Hayes 2002; Pillai et al. 2002; Varma 2002a; Varma 2002b; Varma 2002c) wherein chemical reactions are accelerated because of selective absorption of microwave energy by polar compounds or intermediates, non-polar molecules being inert to the MW dielectric loss. Although this non-ionizing radiation is incapable of breaking bonds, coupling it with the traditional heterogeneous reactions could result in their rate acceleration at ambient pressure.

Among the numerous facile organic transformations (Bose et al. 1991), the hydrolysis of organic compounds under the influence of aqueous acids or bases usually requires extensive heating. In such synthetic reactions MW heating can hydrolyze amides, nitriles, esters and peptides much more efficiently. As an example, the hydrolysis of peptides can be accomplished in 15–20 min using MW irradiation as compared to 12 or more hours of conventional conductive heating (Majetich and Hicks 1995). High-temperature aqueous reactions in continuous (CMR) and batch (MBR) microwave reactors have been explored and the technique has been used to conduct a variety of organic reactions in water. The advantages include less waste generation, safe handling and low cost as demonstrated in the following examples.

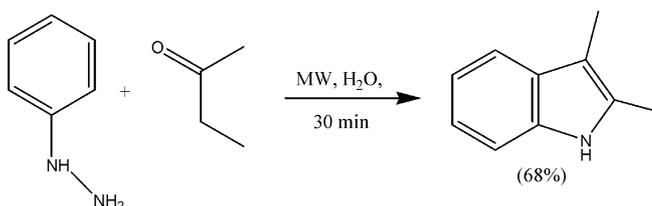
In general, the hydrolysis of tertiary amides is difficult to achieve even at reflux conditions. A morpholinide derivative has been successfully hydrolyzed to afford 4-*t*-butylcyclopent-1-enoic acid (70%) in 10 min under microwave irradiation whereas only 40% product is obtainable after a 4-h reflux under classical condition (Raner et al. 1995) (Scheme 7).

Under optimized reaction conditions specific product formation is achievable as has been demonstrated in the ortho-Claisen rearrangement of allyl phenyl ether or reaction of carvone in water (An et al. 1997). Heating of carvone in water at 210 °C afforded 8-hydroxy-*p*-menth-6-en-2-one in 10 min whereas at 250 °C carvone isomerized to carvacrol in almost quantitative yield. In a batch reactor, allyl phenyl ether upon heating in water delivered different products at various temperatures. The Claisen rearrangement product, 2-allylphenol, (56%) is obtained at 200 °C, 2-(2-hydroxyprop-1-yl)phenol (37%) at 230 °C and 2-methyl-2,3-dihydrofuran (72%) at 250 °C (Bagnell et al. 1996).



**Scheme 7** MW-assisted hydrolysis of tertiary amides

The Fischer indole synthesis of 2,3-dimethylindole (67%) has been accomplished in water from phenylhydrazine and butan-2-one at 220 °C within 30 min (Strauss and Trainer 1998) thus circumventing the use of preformed hydrazone or any acid (Scheme 8).



**Scheme 8** Fischer indole synthesis in aqueous medium

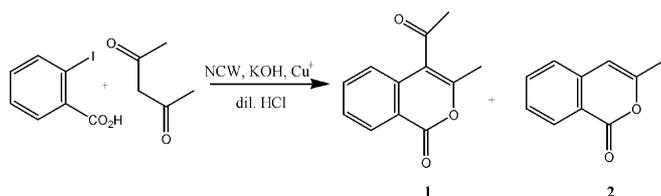
The conventional methods for decarboxylation of indole-2-carboxylic acid to indole are not environmentally benign as they often require pyrolysis or thermolysis in quinoline or pyridine derivatives and in the presence of various copper salts. The decarboxylation of indole-2-carboxylic acid occurs almost quantitatively in water at 255 °C within 20 min.

3-Methylcyclopent-2-enone is usually prepared by an intramolecular Claisen–Schmidt condensation from 2,5-hexandione under strongly basic conditions. The major drawback is that the product also undergoes aldol and Claisen–Schmidt condensation thus lowering the yield. Under microwave irradiation condition at 200 °C, however, competing reactions are suppressed and the desired enone is obtained in 94% yield since the base is employed in much less concentration (Bagnell et al. 1997).

The formation of inorganic salts accounts for the major waste generation in the production of various pharmaceuticals and fine chemicals in view of the frequent neutralization of basic or acidic solutions. The MW protocols using high-temperature water as the reaction medium are especially attractive in this context because of the selectivity and less usage of the acidic or basic agent that eventually reduces the requirement for neutralization (Strauss 1999).

Near critical water (NCW), generated in stainless steel pressure vessels or microwave-irradiated Teflon or glass reactors, is proving to be a useful green reaction medium for a variety of organic transformations as the properties of NCW can be fine tuned to attain selectivity for a desired molecule among several possible reaction products. With the increase in temperature and pressure,

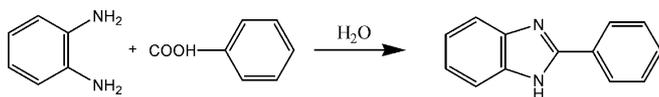
the dielectric constant and hydrogen bonding decreases and the water ionization and solubility of the organic molecules increases. These extreme changes in properties of NCW have been exploited in the synthesis of naturally occurring isocoumarins of medicinal interest that are normally obtained by the aromatic substitution using activated methylene compounds in organic solvent in the presence of a base and stoichiometric amounts of copper catalyst. Pressurized hot water has proven to be effective reaction medium for such reactions (Bryson et al. 2003b) with added benefit of selectivity of forming **1** or **2** (Scheme 9).



**Scheme 9** Preparation of isocoumarins in near critical water (NCW) medium

The approach has been further extended to the syntheses of nitrogen heterocycles where selective reduction, cyclodehydrations (Pfitzinger and Friedlander syntheses), Suzuki coupling and ligand exchange reactions have been effected in water with potential recycling of the catalyst in aqueous medium (Bryson et al. 2003a).

In a similar approach, the synthesis of medicinally significant, 2-phenylbenzimidazole from 1,2-phenylenediamine and benzoic acid has been achieved in high temperature water (Scheme 10).



**Scheme 10** Synthesis of 2-phenylbenzimidazole in water

The proper control of the reactions conditions provide high yield of the product and the solvation properties of water has been exploited by allowing the reaction product to crystallize out from the aqueous medium upon cooling (Dud et al. 2003).

## Conclusion

Green chemistry is a rapidly developing new field that provides us a proactive avenue for the sustainable development of future science and technologies. When designed properly, clean chemical technology can be developed in water as a reaction medium. The technologies generated from such green chemistry endeavors may often be cheaper and more profitable.

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